



4 Mac 2013  
4 March 2013  
P.U. (A)

WARTA KERAJAAN PERSEKUTUAN

*FEDERAL GOVERNMENT  
GAZETTE*

PERATURAN-PERATURAN PERKHIDMATAN KEWANGAN  
DAN SEKURITI LABUAN (PINDAAN) 2013

*LABUAN FINANCIAL SERVICES AND SECURITIES  
REGULATIONS (AMENDMENT) REGULATIONS 2013*

*\* Incorporating latest amendment – P.U. (A) 47 of the year 2018*



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AKTA PERKHIDMATAN KEWANGAN DAN SEKURITI LABUAN 2010  
PERATURAN-PERATURAN PERKHIDMATAN KEWANGAN DAN SEKURITI LABUAN  
(PINDAAN) 2013

PADA menjalankan kuasa yang diberi oleh seksyen 196 Akta Perkhidmatan Kewangan dan Sekuriti Labuan 2010 [*Akta 704*], Menteri, atas syor Lembaga, membuat peraturan-peraturan yang berikut:

**Nama dan permulaan kuat kuasa**

1. (1) Peraturan-peraturan ini bolehlah dinamakan **Peraturan-Peraturan Perkhidmatan Kewangan dan Sekuriti Labuan (Pindaan) 2013**.

(2) Peraturan-Peraturan ini mula berkuat kuasa pada 1 April 2013.

**Penggantian Jadual Ketiga**

2. Peraturan-Peraturan Perkhidmatan Kewangan dan Sekuriti Labuan 2010 [*P.U. (A) 416/2010*] dipinda dengan menggantikan Jadual Ketiga dengan Jadual yang berikut:

"JADUAL KETIGA  
(Peraturan 8)  
BAYARAN FI

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
1.	Penyerahan prospektus berhubung dengan suatu tawaran atau pelawaan untuk membeli sekuriti.	USD600/RM2,000
2.	Pendaftaran dana awam.	USD600/RM2,000
3.	Pemfailan perakuan pematuhan di bawah seksyen 38.	Tiada
4.	Pemfailan notis perubahan maklumat di bawah subseksyen 32(3).	Tiada
5.	Fi lesen tahunan bagi--	

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
	(a) dana awam;	USD600/RM2,000
	(b) pengurus dana;	USD1,500/RM5,000
	(c) pentadbir dana;	USD600/RM2,000
	(d) pemegang lesen sekuriti;	USD1,500/RM5,000
	(e) syarikat amanah Labuan;	USD5,000/RM15,000
	(f) syarikat amanah diurus Labuan;	USD5,000/RM15,000
	(g) syarikat amanah persendirian Labuan;	USD1,500/RM5,000
	(h) bank Labuan –  sebagai tambahan kepada fi lesen tahunan bagi bank Labuan jika terpakai-  (i) bagi setiap pejabat yang ditubuhkan untuk operasi bank Labuan di Malaysia selain Labuan;  (ii) bagi setiap pejabat pemasaran bank Labuan yang ditubuhkan di Malaysia selain Labuan;	USD30,000/RM100,000  USD3,500/RM10,000  USD2,500/RM7,500
	(i) bank pelaburan Labuan-  sebagai tambahan kepada fi lesen tahunan, bagi bank pelaburan Labuan jika terpakai--  (i) bagi setiap pejabat ditubuhkan untuk operasi bank pelaburan Labuan di Malaysia selain Labuan;  (ii) bagi setiap pejabat pemasaran bank pelaburan Labuan di Malaysia selain Labuan;	USD30,000/RM100,000  USD3,500/RM10,000  USD2,500/RM7,500
	(j) bagi setiap lesen yang dikeluarkan untuk menjalankan hanya perniagaan kewangan Labuan	

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
	<p>tertentu bagi-</p> <p>(i) perniagaan kredit bangunan;</p> <p>(ii) perniagaan tanda kredit;</p> <p>(iii) perniagaan pembiayaan pembangunan;</p> <p>(iv) perniagaan pemajakan-</p> <p>(A) apabila kelulusan untuk satu transaksi dengan pemastautin Malaysia atau bukan pemastautin didapatkan;</p> <p>(B) apabila kelulusan untuk transaksi yang berikutnya dengan pemastautin atau bukan pemastautin didapatkan  <i>[Pind. P.U.(A) 47/2018 w.e.f 1 Julai 2018]</i></p> <p>(v) perniagaan pemfaktoran;</p> <p>(vi) perniagaan broker wang;</p> <p>(vii) perniagaan kewangan Labuan yang diluluskan oleh Lembaga.</p>	<p>USD13,000/RM40,000</p> <p>USD13,000/RM40,000</p> <p>USD13,000/RM40,000</p> <p>USD20,000/RM60,000</p> <p>USD6,000/RM20,000</p> <p>USD13,000/RM40,000</p> <p>USD1,500/RM5,000</p> <p>USD13,000/RM40,000</p>
	<p>(k) penanggung insurans Labuan menjalankan--</p> <p>(i) perniagaan am insurans atau perniagaan insurans am semula;  <i>[Pind. P.U.(A) 310/2014]</i></p>	<p>USD15,000/RM50,000</p>

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
	(ii) perniagaan insurans nyawa atau perniagaan insurans nyawa semula; <i>[Pind. P.U.(A) 310/2014]</i>	USD15,000/RM50,000
	(iii) perniagaan insurans nyawa dan am;	USD30,000/RM100,000
	(iv) perniagaan insurans kaptif;	USD3,000/RM10,000
	(v) kaptif sewa induk;	USD4,000/RM13,000
	(vi) kaptif sewa subsidiari;	USD1,000/RM3,000
	(l) pengurus insurans Labuan termasuklah pengurus insurans diurus; <i>[Pind. P.U.(A) 310/2014]</i>	USD6,500/RM20,000
	(m) pengurus pengunderaitan Labuan termasuklah pengurus pengunderaitan diurus; <i>[Pind. P.U.(A) 310/2014]</i>	USD6,500/RM20,000
	(n) broker insurans Labuan;	USD6,500/RM20,000
	(o) pengurusan syarikat ;	USD1,500/RM5,000
	(p) Mana-mana orang yang menjalankan perniagaan dengan pembentukan syarikat sel terlindung yang didaftarkan di bawah Bahagian VIII B Akta Syarikat Labuan 1990 –	
	(i) ke atas aset am syarikat sel terlindung Labuan yang menjalankan perniagaan insurans am atau kaptif; dan	USD9,500/RM30,000
	(ii) ke atas setiap selnya yang didaftarkan;	USD3,000/RM10,000

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
	<p>(iii) ke atas aset am syarikat sel terlindung Labuan yang menjalankan perniagaan dana bersama; dan</p> <p>(iv) ke atas setiap selnya yang didaftarkan.</p>	<p>setiap sel</p> <p>USD1,500/RM5,000</p> <p>USD600/RM2,000 setiap sel</p>
	<p>(q) Kesemua entiti berlesen selain daripada yang disebut dalam subbutiran 5(<i>h</i>) dan (<i>i</i>) –</p> <p>Sebagai tambahan kepada fi lesen tahunan bagi entiti berlesen tersebut, jika terpakai –</p> <p>(i) bagi setiap pejabat yang ditubuhkan untuk operasi entiti berlesen tersebut dalam Malaysia di luar Labuan;</p> <p>(ii) bagi setiap pejabat pemasaran entiti berlesen tersebut yang ditubuhkan dalam Malaysia di luar Labuan.</p> <p style="text-align: right;"><i>[Pind. P.U.(A) 310/2014]</i></p>	<p>USD3,500/RM10,000</p> <p>USD2,500/RM7,500</p>
6.	Setiap salinan atau cabutan apa-apa dokumen yang dibuat dan diperakui oleh Lembaga dalam simpanannya.	USD10/RM20
7.	Salinan terperaku dokumen dalam simpanan Lembaga (setiap helaian yang diperakui).	USD10/RM20
8.	Salinan atau cabutan apa-apa dokumen tidak	USD5/RM10

<i>Bil</i>	<i>Butiran</i>	<i>Fi</i> <i>(USD/RM)</i>
	terperaku dalam simpanan Lembaga (setiap helaian yang dibekalkan).	
9	Penyerahsimpanan, pendaftaran, deposit atau pemfailan apa-apa dokumen lain dengan atau oleh Lembaga di bawah Akta 704 atau mana-mana Akta lain atau Peraturan-Peraturan yang dibuat di bawahnya (jika fi tidak dinyatakan dalam Akta atau Peraturan-Peraturan itu).	Tiada
10.	Perakuan atau pengesahan daripada Lembaga yang mengesahkan apa-apa penyerahsimpanan atau pendaftaran yang dibuat dengan Lembaga di bawah Akta 704 atau mana-mana Akta lain atau Peraturan-Peraturan yang dibuat di bawahnya (jika fi tidak dinyatakan dalam Akta atau Peraturan-Peraturan itu).	USD150/RM500

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Dibuat 22 Februari 2013

[LFA/LLQ/Peraturan Sekuriti 2013/PN(PU2)686]

DATO' SERI AHMAD HUSNI BIN MOHAMAD HANADZLAH

*Menteri Kewangan Kedua*

LABUAN FINANCIAL SERVICES AND SECURITIES ACT 2010

LABUAN FINANCIAL SERVICES AND SECURITIES (AMENDMENT) REGULATIONS 2013

IN exercise of the powers conferred by section 196 of the Labuan Financial Services and Securities Act 2010 [*Act 704*], the Minister, on the recommendation of the Authority, makes the following regulations:

**Citation and commencement**

1. (1) These regulations may be cited as the **Labuan Financial Services and Securities Regulations (Amendment) 2013**.

(2) These Regulations are come into operation on 1 April 2013.

**Substitution of Third Schedule**

2. The Labuan Financial Services and Securities Regulations 2010 [*P.U. (A) 416/2010*] are amended by substituting for the Third Schedule the following Schedule:

“THIRD SCHEDULE  
(Regulation 8)  
PAYMENT OF FEES

<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/ RM)</i>
1.	Registration of prospectus in relation to an offer or invitation to subscribe securities.	USD600/RM2,000
2.	Registration of public fund.	USD600/RM2,000
3.	Filing of a certificate of compliance under section 38.	Nil
4.	Filing of a notice of change of information under subsection 32(3).	Nil
5.	Annual licence fee for-- <i>(a)</i> public fund;	USD600/RM2,000



<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/ RM)</i>
	<i>(b)</i> fund manager;	USD1,500/RM5,000
	<i>(c)</i> fund administrator;	USD600/RM2,000
	<i>(d)</i> securities licensee;	USD1,500/RM5,000
	<i>(e)</i> Labuan trust company;	USD5,000/RM15,000
	<i>(f)</i> Labuan managed trust company;	USD5,000/RM15,000
	<i>(g)</i> Labuan private trust company;	USD1,500/RM5,000
	<p><i>(h)</i> Labuan bank-</p> <p>in addition to annual licence fee for Labuan Bank, where applicable –</p> <p>(i) for each office established for the operation of the Labuan bank within Malaysia apart from Labuan;</p> <p>(ii) for each marketing office of the Labuan bank established within Malaysia apart from Labuan;</p>	<p>USD30,000/RM100,000</p> <p>USD3,500/RM10,000</p> <p>USD2,500/RM7,500</p>
	<p><i>(i)</i> Labuan investment bank-</p> <p>in addition to annual licence fee for Labuan Investment Bank, where applicable--</p> <p>(i) for each office established for the operation of the Labuan investment bank within Malaysia apart from Labuan;</p> <p>(ii) for each marketing office of the Labuan investment bank</p>	<p>USD30,000/RM100,000</p> <p>USD3,500/RM10,000</p> <p>USD2,500/RM7,500</p>

<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/RM)</i>
	established within Malaysia apart from Labuan;	
	<p><i>(j)</i> Each license issued for carrying on specific Labuan financial business only for-</p> <p>(i) building credit business;</p> <p>(ii) credit token business;</p> <p>(iii) development finance business;</p> <p>(iv) leasing business-</p> <p>(A) upon approval of a single transaction with a resident or non-resident is obtained;</p> <p>(B) upon approval of a subsequent transaction with a resident or non-resident is obtained;</p> <p><i>[Amd. P.U.(A) 47/2018 w.e.f 1 July 2018]</i></p> <p>(v) factoring business;</p> <p>(vi) money-broking business;</p> <p>(vii) Labuan financial business approved by the Authority ;</p>	<p>USD13,000/RM40,000</p> <p>USD13,000/RM40,000</p> <p>USD13,000/RM40,000</p> <p>USD20,000/RM60,000</p> <p>USD6,000/RM20,000</p> <p>USD13,000/RM40,000</p> <p>USD1,500/RM5,000</p> <p>USD13,000/RM40,000</p>

<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/ RM)</i>
	<p><i>(k)</i> Labuan insurer carrying on--</p> <p>(i) general insurance business or general reinsurance business; <i>[Amd. P.U.(A) 310/2014]</i></p> <p>(ii) life insurance business or life reinsurance business; <i>[Amd. P.U.(A) 310/2014]</i></p> <p>(iii) life and general insurance business;</p> <p>(iv) captive insurance business;</p> <p>(v) master rent-a-captive;</p> <p>(vi) subsidiary rent-a-captive;</p>	<p>USD15,000/RM50,000</p> <p>USD15,000/RM50,000</p> <p>USD30,000/RM100,000</p> <p>USD3,000/RM10,000</p> <p>USD4,000/RM13,000</p> <p>USD1,000/RM3,000</p>
	<p><i>(l)</i> Labuan insurance manager including managed insurance manager; <i>[Amd. P.U.(A) 310/2014]</i></p>	<p>USD6,500/RM20,000</p>
	<p><i>(m)</i> Labuan underwriting manager including managed underwriting manager; <i>[Amd. P.U.(A) 310/2014]</i></p>	<p>USD6,500/RM20,000</p>
	<p><i>(n)</i> Labuan insurance broker;</p>	<p>USD6,500/RM20,000</p>
	<p><i>(o)</i> company management;</p>	<p>USD1,500/RM5,000</p>
	<p><i>(p)</i> Any person carrying on business by formation of Labuan protected cell company registered under Part VIII B of Labuan Companies Act 1990-</p> <p>(i) on the general assets of the Labuan</p>	<p>USD9,500/RM30,000</p>

<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/ RM)</i>
	<p>protected cell company carrying on the business of general insurance or captive, and</p> <p>(ii) on each of its registered cells;</p> <p>(iii) on the general assets of the Labuan protected cell company carrying on the business of mutual fund; and</p> <p>(iv) on each of its registered cells.</p>	<p>USD3,000/RM10,000 each cell</p> <p>USD1,500/RM5,000</p> <p>USD600/RM2,000 each cell</p>
	<p>(q)All licensed entities apart from those mentioned in subitems 5(h) and (i) –</p> <p>in addition to annual licence fee for the licensed entities, where applicable –</p> <p>(a) for each office established for the operation of the licensed entities in Malaysia outside Labuan;</p> <p>(b) for each marketing office of the licensed entities established in Malaysia outside Labuan</p> <p style="text-align: right;"><i>[Amd. P.U.(A) 310/2014]</i></p>	<p>USD3,500/RM10,000</p> <p>USD2,500/RM7,500</p>
6.	Each copy or extract made and certified by the Authority of any document in its custody.	USD10/RM20
7.	Certified copy of a document in the custody of the Authority (each sheet certified).	USD10/RM20

<i>No.</i>	<i>Item</i>	<i>Fee</i> <i>(USD/RM)</i>
8.	Uncertified copy or extract of any document in the custody of the Authority (each sheet supplied).	USD5/RM10
9.	Lodgement, registration, depositing or filing of any other document with or by the Authority under Act 704 or any other Act or Regulations made thereunder (where the fee is not specified in such Act or Regulations).	Nil
10.	Certificate or confirmation from the Authority confirming any lodgement or registration made with the Authority under Act 704 or any other Act or Regulations made thereunder (where the fee is not specified in such Act or Regulations).	USD150/RM500

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Dated 22 February 2013

[LFA/LLQ/Peraturan Sekuriti 2013/PN(PU2)686]

DATO' SERI AHMAD HUSNI BIN MOHAMAD HANADZLAH

*Second Minister of Finance*