

LABUAN CAPITAL MARKET

Updated as at 23 July 2020

SURRENDER

NO	NAME	TYPE OF LICENCE	DATE SURRENDER	REMARKS
1	PNS Capital Resources (L) Limited	Private Fund	1/6/2001	-
2	InnoSabah Universal Multi-Fund Limited	Public Fund	28/1/2004	-
3	Pure Investor Private Fund Inc.	Private Fund	16/7/2004	-
4	RG Asset Management Company Ltd	Fund Manager	22/12/2004	-
5	Capital Protection Investment Fund	Private Fund	19/4/2006	-
6	Value Investment Mutual Fund Inc	Private Fund	16/3/2007	-
7	Athur J Steward Capital Limited	Fund Manager	6/5/2007	-
8	Fullerton Investment Ltd	Fund Manager	6/5/2007	-
9	Alliance Asset Management (L) Limited	Fund Manager	25/8/2008	-
10	Zara'ah Partners Limited	Private Fund	31/12/2008	-
11	Whitefield Korean Fund (Labuan) Ltd	Private Fund	10/5/2009	-
12	Intrinsic Capital Management Labuan Limited	Fund Manager	1/8/2010	-
13	Canaan Asset Management Limited	Fund Manager	3/1/2010	-
14	Royal Specialty Fund, Ltd. P	Private Fund	21/5/2010	-
15	Portsmouth Securities Limited	Fund Manager	28/12/2010	-
16	Sentiment Portfolio Fund Company Limited	Private Fund	17/6/2011	-
17	STIC Mid- Market Opportunity Fund II, LTD. P	Private Fund	22/8/2011	-
18	STIC M&A FUND II, LTD. P	Private Fund	22/8/2011	-
19	Amanahraya Asset Management (Labuan) Ltd	Fund Manager	16/8/2013	-
20	Balance Capital Inc.	Fund Manager	19/10/2015	-
21	Avenue Asset Management Services (Labuan) Ltd	Fund Manager	15/12/2015	-
22	SJS Markets Ltd (FKA CFC Seymour Ltd)	Fund Manager	22/09/2016	-
23	Cogent Asset Management Ltd	Securities Licensee	10/12/2019	-
24	Capital Hive Ltd	Fund Manager	30/12/2019	-
25	Shorea Investment Limited	Securities Licensee	30/11/2019	-
26	Cap One Financial Limited	Fund Manager	21/7/2020	-

REVOKED

NO	NAME	TYPE OF LICENCE	DATE REVOKED	REMARKS
1	Mindanao Capital Fund Limited	Private Fund	30/1/2003	-
2	Asia Asset Management Inc.	Fund Manager	2/7/2005	-
3	IPM Venture Eight Ltd	Private Fund	2/8/2005	-
4	The "Blue Chip Pathfinder' Private Fund	Private Fund	11/11/2005	-
5	E&S Asset Management Company Limited	Fund Manager	19/7/2010	-
6	Namirah Capital Management (Labuan) Limited	Fund Manager	25/1/2011	-
7	United Base Global Assets Limited	Fund Manager	21/2/2011	-
8	Gulf Investment Fund Limited	Private Fund	21/2/2011	-
9	Bayt Al Mal Asset Management Company Limited	Fund Manager	17/11/2011	-
10	EAssestManagement (Labuan) Pte. Ltd.	Fund Manager	17/11/2011	-
11	Trident Investment Ltd	Fund Manager	17/11/2011	-
12	Aurex Management & Investment Labuan Ltd	Fund Manager	5/11/2012	-
13	Aurex Natural Resources Fund	Public Fund	5/11/2012	-
14	SunGlobe International Investment Ltd.	Fund Manager	23/1/2013	-
15	Better Place Strategic Investment Ltd	Fund Manager	23/1/2020	-
16	Lombard Securities Limited	Securities Licensee	20/5/2020	-

STRUCK OFF

NO	NAME	TYPE OF LICENCE	DATE STRUCK OFF / LIQUIDATION	REMARKS
1	Equity Investment (L) Inc	Private Fund	24/1/2001	-
2	PJB Pacific Capital (L) Bhd.	Private Fund	12/8/2003	-